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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Marvin K. Simon et al. Art Unit: Unknown  
Serial No.: 09/496,135 Examiner: Unknown  
Filed : February 1, 2000  
Title : CROSS CORRELATED TRELLIS CODED QUADRATURE  
MODULATION TRANSMITTER AND SYSTEM

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Responsive to the Notice to File Missing Parts of  
Application under 37 CFR §1.53(b) mailed March 9, 2000 (a copy  
of which is enclosed), applicant as a small entity submits  
herewith the following:

- ☒ Payment of the basic filing fee of \$345;
- ☐ Payment of the additional/multiple dependent claims  
fees of \$0;
- ☒ Payment of the surcharge of \$65 for late filing of the  
basic filing fee and/or declaration.
- ☒ Applicant hereby petitions under 37 C.F.R. §1.136 for  
a 1 month extension of time \$55.
- ☒ Please charge in the total amount of \$465 to deposit  
account 06-1050.
- ☒ A Verified Statement Claiming Small Entity Status;
- ☒ A Combined Declaration and Power of Attorney in  
compliance with 37 CFR §1.63;

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

I hereby that this correspondence is being deposited with the United  
States Postal Service as first class mail with sufficient postage on the  
date indicated below and is addressed to the Commissioner for Patents,  
Washington, D.C. 20231.

June 9, 2000

Date of Deposit

Signature

*R. P. Ippolito*

Roxanne Ippolito

Typed or Printed Name of Person Signing Certificate


- ☐ A verified English translation of the application and payment of the \$0 fee required under 37 CFR \$1.17(k); and
- ☒ Other: Petition for acceptance of application-inventors refuses to sign under rule 47(b)

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: \_\_\_\_\_

6/9/00

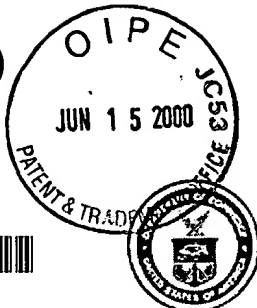
  
\_\_\_\_\_  
Scott C. Harris  
Reg. No. 32,030

Fish & Richardson P.C.  
Customer Number: 20985  
4350 La Jolla Village Drive, Suite 500  
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## FORMALITIES LETTER



\*OC00000004987707\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/496,135         | 02/01/2000          | Marvin K. Simon       | 06618/363002/CIT-2885  |

SCOTT C HARRIS  
Fish & Richardson P C  
4225 Executive Square Suite 1400  
La Jolla, CA 92037

Date Mailed: 03/09/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 820.**

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/19/2000 AGDITOM 00000088 061050 09496135

|           |           |
|-----------|-----------|
| 01 FC:201 | 345.00 CH |
| 02 FC:205 | 65.00 CH  |
| 03 FC:215 | 55.00 CH  |

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MAR 22 2000

FISH & RICHARDSON, P.C.  
LA JOLLA, CA